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PATENTS
CF/017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Phillip M. Ginsberg
Application No.: 09/843,093 Conf. No.: 8423
Filed : April 26, 2001
For : METHODS AND SYSTEMS FOR ACCESSING
FINANCIAL PROSPECTUS DATA
Group Art Unit : 2131
Examiner : Not Yet Assigned

New York, New York
September 4, 2001

Hon. Commissioner
for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicant
hereby makes the following references of record in the
above-identified application:

U.S. PATENTS

5,270,922	Higgins	12/14/93
5,428,606	Moskowitz	6/27/95
5,748,931	Jones, et al.	5/5/98

Copies of the aforementioned references, which are
listed on the accompanying Form PTO-1449 (submitted in
duplicate) are enclosed herewith.

It is respectfully requested that these references be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,



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September 4, 2001
Claims V. Mantel

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REV. 10/94

Docket No. CF/017

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TRANSMITTAL LETTER

Sir:

Transmitted herewith is an Information Disclosure
Statement in the above-identified application. This
Statement is submitted:

☐ within three months of the application filing
date;

☒ more than three months from the application filing
date but before the mailing date of the first
Office Action on the merits.

In accordance with 37 C.F.R. § 1.98, submission of
this statement requires no fee. However, if for any reason
a fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this

Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.



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September 4, 2001
Claire A. Smith


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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF/017SERIAL NO.
09/843,093INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Phillip M. GinsbergFILING DATE
April 26, 2001GROUP
2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,270,922	12/14/93	Higgins	364	408	
	5,428,606	6/27/95	Moskowitz	370	60	
	5,748,931	5/5/98	Jones, et al.	395	356	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.